

Sustainable Planning and Management of complex urban water systems based on Macro-modelling, Simulation and Stakeholder participation-the case of the megacity of Lima

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Abstract Planning and management of urban water systems represent due to the complexity of the systems a challenging task. The paper presents on the one hand a methodology developed within the “LiWa – Lima Water” project and on the other hand the application of a Decision Support Tool (LiWatool) to model at large scale one part of the complex urban water system of the megacity of Lima. In order to achieve a sustainable planning and management of urban water systems, the tool allows and support to make management decisions (in a participative approach) taking into account environmental, economical, social, political and technical aspects.

Keywords: Decision Support Tool; Megacity; Modelling; Participation; Urban water system

INTRODUCTION

Sustainable planning and management of water resource systems are becoming more challenges with the growing recognition of the comprehensive interactions between environmental, economical, social, political and technical aspects to be considered (Matthies *et al.*, 2007). In addition, the effects from external drivers (e.g. climate change) and boundary conditions (e.g. hydrological) increase the challenges for achieving sustainable water and waste water system (urban water system) planning and management. This result in the need for new or improved integrated approaches in which the knowledge of diverse disciplines (e.g. political, environmental, etc.) is combined in a single methodological and operational framework (Giupponi *et al.*, 2008). The integration of scenarios (e.g. socio-political context, environmental scenarios) into the modelling of water systems and the involvement of the relevant stakeholders provide a platform for participative decision making.

There are a large number of tools which support the management of urban water systems. In most cases and due to the complexity of the systems, these tools consider only individual subsystems (e.g. river basin, water networks, etc.) (Rauch *et al.*, 2002, Robleto *et al.*, 2010). These approaches can contribute to evaluate potential solutions for the water subsystem under analysis but are not necessarily appropriate for the entire water system.

In order to contribute to overcoming some of the presented challenges, a new decision support tool based on the principles of material flow analysis (Montangero, 2006) has been developed. It allows an analysis and representation of the entire system in an integrated form and in a single model. Furthermore, due to the flexibility of the software, users can modify or integrate new modules, parameters and variables by themselves. In addition, the program enables the analysis of scenarios of different disciplines (e.g. social, environmental, etc.).

52 **METHODS:**

53 **Macro-modelling approach**

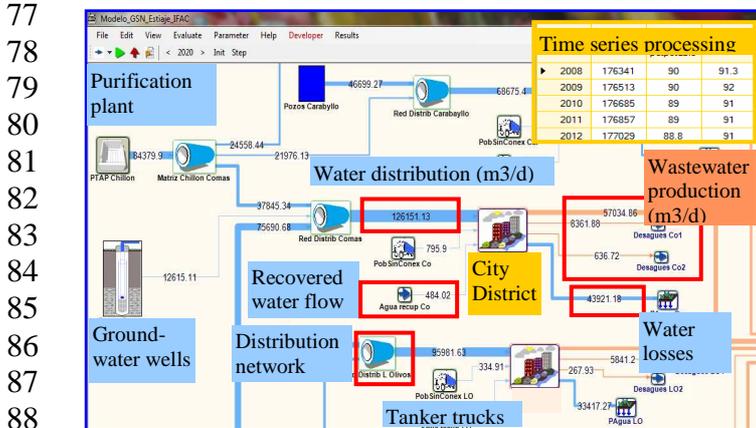
54 The term “macro-modelling” is used here in order to distinguish modelling in a macroscopic level,
55 which allows (in an integrated form) the consideration and analysis of the entire urban water system
56 from detailed modelling “Micro-modelling”, which analyses each part of the urban water system in
57 a detailed form (e.g. groundwater modelling, detailed hydraulic modelling of sewer networks
58 (Rauch *et al.*, 2002). In order to consider the entire urban water system in one single model, the
59 concept of macro-modelling is more appropriate. This does not mean that the approach of macro-
60 modelling in a sector level (e.g. in a part of the entire system) could not be applied.

61
62 **Main objectives of the water system simulator “LiWatool”**

63 The main objectives of the macro-modelling water system simulator are to provide a platform for:
64 participative discussion and decision making, involving the relevant stakeholders and decision
65 makers of the different disciplines. Furthermore, the evaluation of identified planning and
66 management alternatives and strategies and their possible impact not only on the part of the urban
67 system under analysis (local level), but also on the entire urban water system (global level) is
68 required.

69
70 **Some components of the urban water system included in the current version of LiWatool**

71 LiWatool allows representing the system by building blocks for each of its main elements (e.g.
72 groundwater wells, water supply network, city districts, sewer network, wastewater treatment
73 plants, etc.) (See Figure 1). City districts are characterised by, among others, population size, water
74 consumption patterns (according to social economic level) and percentage of population connected
75 to the drinking water supply and sewer networks. Besides water quantity, water quality (water
76 supply and wastewater) fluxes are also considered.



89 **Figure 1.** Some parts of the urban water system included in the current version of LiWatool
90 (Example)

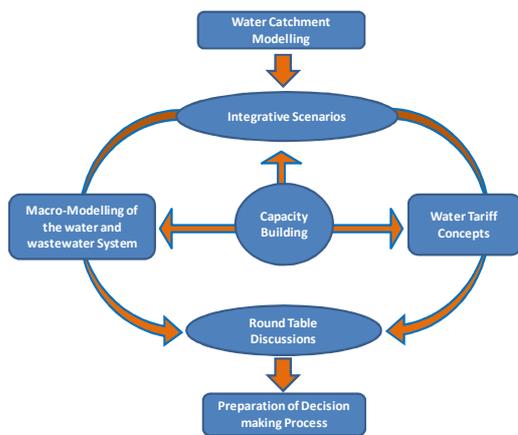
91
92 **Background of the water system simulator “LiWatool”**

93 One aim of the water system simulator is to provide not only a platform for participative decision
94 making but also to allow an easy description of the structure of the complex urban water system.
95 For this purpose it is necessary to define the transported water, material and energy flows and to
96 describe the transformation of these flows in the different process units of the water and wastewater
97 system. The description of these transformations are organised in LiWatool in module libraries,
98 which can be defined and extended by users like process engineers. To allow a module definition
99 without the need to have programming language skills, a simple model editor was developed to
100 describe the transformations using symbolic equations. The recent version of the software allows
101 only stationary models for these transformations (algebraic transformations). Different solvers for
102 the system of nonlinear algebraic equations are implemented (Newton-Raphson, Levenberg-

103 Marquardt and a specialised nonlinear iterative solver). From first experiences of the application of
 104 the program in real urban water system, a strong need for the option to include dynamical
 105 description methods (Difference equations, Differential equations) was identified. This need results
 106 partly from a number of important storage elements in the system (groundwater body, water
 107 reservoirs, storage tanks in drinking water networks), but more seriously from the need to combine
 108 unit modules with tight interactions which can be described better using differential equations
 109 (ODEs). The latest version under development will include this option and the related ODE solvers
 110 (for stiff systems).

112 **Interactions of the Macro-modelling with other aspects to support participative decision**
 113 **making**

114 Taking into account the interactions between the water systems with other aspects (e.g. social,
 115 environmental aspects, etc.), a set of scenarios (which are results of qualitative analysis) of potential
 116 future developments are developed (León 2010 b). These scenarios serve, on the one hand, as input
 117 data for the macro-modelling simulator and on the other hand as inputs for river basin catchment
 118 modeling, which determine a water balance of the four most important river catchments for the
 119 water supply of Lima. This analysis involves a regionalisation of global climate models to the
 120 Andean region (Chamorro and Bárdossy, 2010). The results feed into macro-modelling of the entire
 121 urban water system of Lima. It provides a base for informed discussions and participative decision
 122 making, involving the relevant stakeholders. Discussions will feedback into scenario definition
 123 (definition of additional or new scenarios) and/or model development and application. An analysis
 124 of water tariffs accompanies this process, directly feeding into modeling and evaluation of options
 125 (See Figure 2). Capacity building and potential measures are contributions which supplement the
 126 areas of research mentioned above.



140 **Figure 2.** Macro-modelling as a crucial part for decision making processes (León, 2010a)

142 **Modelling and simulation of a part of the urban water system of Lima**

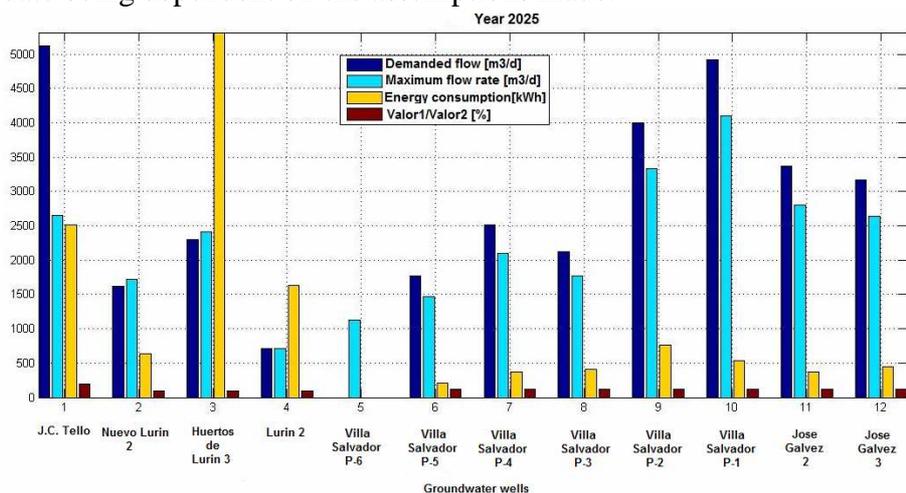
143 The climate conditions have a very pronounce influenced on the precipitation and temperature
 144 patterns in the water catchments around the megacity of Lima. With an average annual rainfall of
 145 nine millimetres, Lima is the world’s second-driest city after Cairo. The water scarcity in the city
 146 and the actual population of more than eight million inhabitants at present, thus lead for water
 147 supply to a high dependency on water sources located in the Pacific and Atlantic river basins.

149 The district of Lurin is located in the southern part of Lima. The district has an area of 180 km² and
 150 has a population of 62940 inhabitants (National Census data of 2007). Due to Lurin is mostly
 151 dedicated to agricultural activities, the percentage of population connected to the drinking water
 152 supply and sewer networks are quite low. Official numbers state that about 34% percent of the
 153 population of the Lurin district are connected to the water network and about 29 percent to the

154 sewer network (SEDAPAL, no year). Water supply in large parts of this district is by groundwater
 155 wells, whilst a smaller portion of drinking water is taken from La Atarjea purification plant, where
 156 water from Rimac River is abstracted and treated. Scenarios considered so far for this application
 157 include, for example, population growth over 18 years as anticipated by Sedapal (SEDAPAL, 2010)
 158 and information on climate developments obtained from regionalised global climate models (in this
 159 case, from the model ECHAM 5 with Scenario A2 for the next 30 years) (Chamorro and Bárdossy,
 160 2010). After specification of exemplary assessment criteria (including water availability for the
 161 population; energy consumption of groundwater pumps, distribution network and treatment plants;
 162 pollution discharges to the Ocean; revenues from water tariffs), the simulator allowed the effect of
 163 various acting options, here as operational strategies (e.g. leakage reduction and operational regimes
 164 of groundwater pumps, See Figure 3), to be simulated, visualised (this proved to be very important)
 165 and discussed.

167 RESULTS AND DISCUSSION

168 According to an evaluation of various operational strategies, it could be concluded that a more
 169 uniform use of groundwater sources and a reduction of the energy consumption of the wells can be
 170 largely achieved (see Figure 3). For this example, it results that prudent operation of the
 171 groundwater wells can maintain water supply for some time, however, the need to activate
 172 additional water sources will also arise in any case soon in the coming years, with only the exact
 173 date being dependent on the assumptions made.



174
 175 **Figure 3:** Results of Strategy 2 (Year 2025)

177 CONCLUSIONS

178 The more detailed application of macro-modelling with interactions between environmental,
 179 economical, social, political and technical aspects to be considered in the district of Lurin will
 180 represent a significant contribution, not only for the analysis and evaluation of project alternatives,
 181 but also for decision making of the water company for future investments (e.g. currently, the
 182 construction of a new water purification plant in the Lurin catchment is under consideration),
 183 particularly since Lurin is discussed to be one of the future areas of urban development in Lima.

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